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October 1, 2015

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Mr. Rihui Zhang  
Chief, Division of Local Assistance  
California Department of Transportation  
P.O. Box 942874 MS #1  
Sacramento, CA 94274-0001

**Re: MTC's Revised Federal Fiscal Year (FFY) 2015-16 Annual Obligation Plan**

Dear Mr. Zhang:

The Metropolitan Transportation Commission (MTC) is submitting the revised FFY 2015-16 Annual Obligation Plan for the following funding programs for the San Francisco Bay Area:

- Regional Surface Transportation Program (RSTP)
- Congestion Mitigation and Air Quality Improvement (CMAQ)
- Highway Safety Improvement Program (HSIP)
- Safe Routes to School (SRTS)
- Regional Transportation Improvement Program (RTIP)
- Active Transportation Program (ATP)

The region expects to deliver roughly 145 local federal-aid projects (or project phases) totaling roughly \$252 million in these programs. The table below shows the expected obligations against estimated apportionments.

This obligation plan was developed with the assumption that Caltrans already has delivery information for the Local Bridge Program based on their periodic surveys.

With regards to the RSTP and CMAQ funding, MTC expects to deliver roughly \$149 million, which is 97 percent of our estimated \$153 million FFY 2015-16 apportionment and more than our expected FFY 2015-16 Obligation Authority (OA) target for RSTP/CMAQ. This plan depends on the ability of MTC to advance future OA against other region's unused funds and capturing unused OA from other states (August OA redistribution).

The attached project list is our best estimate of FFY 2015-16 obligations at this time, and as always, is subject to change. Our annual obligation plan contains projects expected to be obligated during the entire fiscal year, not just those projects to be

obligated after January 31, 2016. We hope this complete list provides the Department with an overall picture of the region’s delivery commitment and priorities for the entire federal fiscal year.

Projects on this list have priority for funding until our obligation deadline of January 31. After January 31 it is first-come-first served with regards to obligating against available apportionment and OA. Any project may be advanced after January 31 using the Expedited Project Selection Process (EPSP). In developing this obligation plan we constrained it to this year’s apportionment levels. We fully expect project sponsors to request obligations for additional projects. This will of course be contingent upon the availability of extra apportionment and OA. We expect to run out of both apportionment and OA in the spring.

Starting in fiscal year 2010-11, MTC expanded its project delivery and monitoring efforts to include the local bridge and safety programs managed by Caltrans. Our intent is to apply our regional delivery deadlines to all FHWA-formula funds programmed to local projects in MTC’s federal Transportation Improvement Program (TIP). Moving forward, the intent is for all federal FHWA formula funds to be able to meet the region’s revised January 31 obligation deadline, though this is proving difficult to achieve. This is consistent with, and in response to Caltrans’ effort to have the local federal-aid programs deliver earlier in the fiscal year. The Department’s support of our regional obligation deadline is appreciated.

Although MTC’s recently revised regional delivery policy, MTC resolution 3606, includes an obligation deadline of January 31, we are currently in transition to this deadline, allowing sponsors until FY 2016-17 to comply. Until then, the regional obligation deadline of April 30 still applies, with sponsors encouraged to meet the earlier January 31 obligation date when possible.

**MTC RSTP/CMAQ/RTIP/Local Safety Programs Obligation Commitments and Priorities for FFY 2015-16**

**As of October 1, 2015**

FFY 2015-16	RSTP	CMAQ	RSTP/CMAQ Sub-Total	HSIP **	SRTS **	STIP	ATP	Total
(amounts in millions)								
FFY 2015-16 Apportionment *	\$85.4	\$67.9	\$153.3	\$20.8	\$1.1	\$41.4	\$39.8	\$256.4
Obligations (Planned)	\$84.5	\$64.7	\$149.3	\$20.8	\$1.1	\$41.4	\$39.8	\$252.4
Apportionment Balance after Obligation	\$0.9	\$3.2	\$4.0	\$0.0	\$0.0	\$0.0	\$0.0	\$4.0

\* Estimated Apportionment Based on FFY 2015-16 estimates provided by Caltrans.

\*\* Local Safety delivery based on responses from local project sponsors. Caltrans may have more accurate information on these programs.

Rihui Zhang  
MTC Revised FFY 2015-16 Obligation Plan  
October 1, 2015  
Page 3 of 3

Please feel free to contact Marcella Aranda of my staff at [maranda@mtc.ca.gov](mailto:maranda@mtc.ca.gov) or (510) 817-5814 if you require further information on the annual obligation plan for the MTC region.

Sincerely,



Ross McKeown

Programming and Funding Manager,  
Programming and Allocations Section

Attachment – MTC's Revised FFY 2015-16 Obligation Plan dated October 1, 2015

cc: John Flores, Caltrans HQ Local Assistance  
Peggy Sui, Caltrans HQ Local Assistance  
Daniel Burke, Caltrans HQ Local Assistance  
Sylvia Fung, Caltrans District 4 Local Assistance

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**Please do not change, alter or modify this template**

District	MPO/RTPA	Local Agency	Project Number <small>Enter as: STP 1234(567). If not known, please provide the project FTIP or PPNQ</small>	Project Location <small>Provide location of project: street name, highway, cross-street, etc.</small>	Project Scope / Description <small>Provide scope of project and brief description.</small>	Planned Date of Obligation from 04/01/15 to 09/30/15 <small>(please enter as</small>	Federal Fund Type					Total Federal Obligation	Remarks <small>Enter comments or additional information. Provide contact names &amp; phone numbers or email addresses for questions.</small>		
							RSTP \$	CMAQ \$	(formerly HBRR) BRIDGE \$	(formerly HES) HSIP \$	SRTS \$			Federal STIP \$	Federal ATP \$
4	MTC	Alameda County	HSIPL-5933(129)	Various locations throughout unincorporated Alameda County	Construct sidewalks, curb ramps, curb extensions, curb and gutter; Restriping; Install flashing beacons	7/31/2016				847,300				\$847,300	
4	MTC	Berkeley	ALA130028	In Berkeley: Hearst St from Shattuck Ave to Gayley/La Loma	Implement access and safety improvements to Downtown Berkeley PDA for all modes, includes a road diet from Shattuck Ave to Euclid Ave	1/31/2016	2,156,000							\$2,156,000	
4	MTC	Berkeley	ALA130035	City of Berkeley: Berkeley Marina	Construct segment 3 of Bay Trail Extension, construct new public restroom, and renovate existing public parking area and windsurf staging area.	1/31/2016	500,000							\$500,000	
4	MTC	Fremont	ALA130025	Fremont: Capital Ave from State St to Fremont Blvd and Various locations around Fremont City Center and Fremont BART Station	Capital Ave from State St to Fremont Blvd: Construct roadway extension; Various locations around Fremont City Center and Fremont BART Station: implement multi-modal improvements to enhance bike/ped connections.	1/31/2016	58,457							\$58,457	
4	MTC	Hayward	ALA150022	Hayward: Various locations	Obtain car sharing services in downtown Hayward and possible additional locations through a competitive RFP process.	1/31/2016		200,480						\$200,480	
4	MTC	Hayward	HSIPL-5050(043)	Mission Blvd/Blanche St, Huntwood Way/Gading Rd	Install traffic signals; Install HAWK signal	7/31/2016				396,000				\$396,000	
4	MTC	Livermore	ALA130011	Livermore: Adjacent to the UPRR tracks and the Downtown parking structure	Relocation and rehabilitation of the Historic Depot building to a site adjacent to the UPRR tracks and the Downtown parking structure/LAVTA's Transit Center. No loss of existing transit hub	1/31/2016	2,500,000							\$2,500,000	
4	MTC	MTC	ALA090030	Alameda County	Regional Planning Activities and Planning, Programming and Monitoring (PPM)	1/31/2016	1,034,000							\$1,034,000	
4	MTC	Oakland	ALA130017	Oakland: Peralta St from 3rd St to 36th St and MLK Jr. Blvd. from West Grand to 40th St	Phase 1 components include bike lanes and racks, street lights, landscaping, new sidewalks and pedestrian	1/31/2016		5,452,000						\$5,452,000	
4	MTC	Oakland	ALA130024	Oakland: Along Harrison Street and Lakeside Drive between 19th Street and Grand Avenues, and on Grand between Harrison and Bellevue	Implement road diet and install bike and pedestrian facilities	1/31/2016		2,200,000						\$2,200,000	
4	MTC	Oakland	ALA130024	Oakland: Along Harrison Street and Lakeside Drive between 19th Street and Grand Avenues, and on Grand between Harrison and Bellevue	Implement road diet and install bike and pedestrian facilities	1/31/2016		2,554,000						\$2,554,000	
4	MTC	Oakland	ALA130024	Oakland: Along Harrison Street and Lakeside Drive between 19th Street and Grand Avenues, and on Grand between Harrison and Bellevue	Implement road diet and install bike and pedestrian facilities	1/31/2016		4,446,000						\$4,446,000	
4	MTC	Oakland	HSIPL-5012(129)	9th St/Madison St, 8th St/Jackson St, 8th St/Madison St, 8th St/Oak St, 7th St/Madison St	Upgrade traffic signals	08/31/16				547,800				\$547,800	
4	MTC	Oakland	HSIPL-5012(26)	On Grand Ave between Park View Terrace and Euclid Ave	Upgrade crosswalks: signing, striping, pedestrian signals, bulb-outs, and raised medians	8/31/2016				479,300				\$479,300	
4	MTC	San Leandro	HSIPL-5041(042)	Bancroft Ave./Sybil Ave.	Upgrade traffic signals; install protected left-turn phasing; construct sidewalks, curb ramps, curb and gutter	11/23/2015				379,500				\$379,500	
4	MTC	CC County	CC-110084	CC County: Canal Rd from Bailey Rd to Loftus Rd	Construct east and west bound bike lanes and close sidewalk gaps (2,350 ft in total length) on the north side of Canal Rd, other improvements include curb ramps, speed feedback signs, and road striping	1/31/2016		750,000						\$750,000	
4	MTC	CC County	CC-130027	Bay Point: Near the intersection of Port Chicago Hwy and Willow Pass Rd	Install bike lane, sidewalk, curb and gutter, bike/ped access improvements, and intersection channelization	1/31/2016		331,200						\$331,200	













